



#4 Receipt

ATTORNEY DOCKET NO.: 1232-4412US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : K. YANAGITA et al.

Serial No. : 09/931,771

Group Art Unit: 2812

Filed : August 20, 2001

Examiner : Unknown

For : WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND
SEMICONDUCTOR FABRICATION APPARATUS

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attn.: Office of Initial Patent Examination's
Customer Service Center

Commissioner for Patents
Washington, D.C. 20231

Sir:

A corrected Filing Receipt is hereby requested to correct an error in the original Filing Receipt.

Specifically, under section of Domestic Priority data as claimed by applicant, please change "THIS APPLICATION IS A CON OF 09/015,582 01/29/1998" to --THIS APPLICATION IS A DIVISION OF 09/015,582 filed on 01/29/1998--.

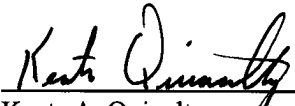
For the convenience of the Patent and Trademark Office, attached is a copy of the original Official Filing Receipt with the correction marked in red and the divisional application Transmittal filed on August 20, 2001.

As the error was made by the Patent and Trademark Office, it is believed that no fee is necessary. However, please credit or debit Deposit Account No. 13-4503; Order No. 1232-4412US1 as necessary to effect entry of the attached request for corrections.

Respectfully submitted,

Date: October 16, 2001

By:


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CORRESPONDENCE ADDRESS:

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/931,771	08/20/2001	2812	950	1232-4412US1	12	6	6

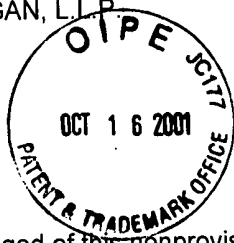
CONFIRMATION NO. 6944

FILING RECEIPT



OC00000006850479

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154



Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kazutaka Yanagita, Yokohama-shi, JAPAN;
Kiyofumi Sakaguchi, Yokohama-shi, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CONTINUATION OF 09/015,582 01/29/1998

DIVISION

Foreign Applications

JAPAN 9-021796 02/04/1997
JAPAN 9-030887 02/14/1997

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

Title

Wafer processing apparatus and method, wafer convey robot, semiconductor substrate
fabrication method, and semiconductor fabrication apparatus

Preliminary Class

CASE 1232-4412 US ATTY KA
INFORMATION DISCLOSURE
STATEMENT 10/20/2001

FOREIGN FILING

CONVENTION DATE EXPIRES 10/20/2001

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No. 1232-4412US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- | | | | |
|-----|--|-----|--|
| (1) | Kazutaka YANAGITA
8-8-302, Mori 1-chome, Isogo-ku,
Yokohama-shi, Kanagawa-ken, JAPAN | (2) | Kiyofumi SAKAGUCHI
19-2-504, Edahigashi 2-chome, Tsuzuki-ku,
Yokohama-shi, Kanagawa-ken, JAPAN |
|-----|--|-----|--|

☐ Additional inventors are listed on a separate sheet

For: WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND SEMICONDUCTOR
FABRICATION APPARATUS

Enclosed Are:

- 37 page(s) of specification
1 page(s) of Abstract
12 page(s) of claims
12 sheets of ☒ Formal ☐ Informal drawings
5 page(s) of Declaration and Power of Attorney

- ☐ Unsigned
☐ Newly Executed
☒ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

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- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. . .
- ☒ Assignment Papers filed in the parent application Serial No. 09/015,582
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
- ☒ Priority is claimed under 35 U.S.C. § 119 for:
Application No(s). 9-021796, filed 2/4/97, in Japan (country); and Application No(s). 9-030887,
filed 2/14/97 in Japan (country).
- ☒ Certified Copy of Priority Document(s) []
- ☐ filed herewith
- ☒ filed in application Serial No. 09/015,582, filed 1/29/98.
- ☐ English translation document(s) []
- ☐ filed herewith
- ☐ filed in application Serial No. _____, filed _____.
- ☐ Priority is claimed under 35 U.S.C. § 119(e) for:
Provisional Application No. _____, filed _____.
- ☐ Priority is claimed under 35 U.S.C. § 120 for:
Application No(s). _____, filed _____, in _____.
- ☐ Information Disclosure Statement
- ☐ Copy of [] cited references
- ☐ PTO Form-1449
- ☐ References cited in parent application Serial No. _____, filed _____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part of prior application serial no.
09/015,582, filed 1/29/98.
- ☒ Cancel in this application original claims 1-42 and 49 of the parent application before
calculating the filing fee. (At least one original independent claim must be retained for
filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been
properly numbered consecutively beginning with the number following the highest
numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until ____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:
This is a continuation of co-pending application Serial No. 09/015,582, filed 1/29/98.

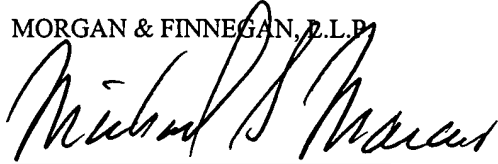
I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	6- 20 =	0	\$18.00/\$9.00	\$ 0
Independent Claims	6- 3 =	3x	\$80.00/\$40.00	\$ 240.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$
TOTAL:				\$ 950.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$_____.
- ☐ A check in the amount of \$ _____ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.



By:

Michael S. Marcus

Registration No. 31,727

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Dated: August 20, 2001

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